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Other items or information:

AP12 Rec'd PCT/PTO 2 0 APR 2007 (Rev. 02-2005)
Approved for use through 3/31/2007 OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES ATTTORNEY'S DOCKET NUMBER 520514.00039 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/586,400 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP05/01174 22 January 2004 (22.01.04) 21 January 05 (21.01.05) TITLE OF INVENTION VACUUM DEPOSITION METHOD AND SEALED-TYPE EVAPORATION SOURCE APPARATUS FOR VACUUM DEPOSITION APPLICANT(S) FOR DO/EO/US OMIYA, Kenji Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

Copy of Notification of Missing Requirements Under 35 USC 371, Form PCT/DO/EO/905; Postcard Receipt

PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER				
	10/586,400 PCT/JP05/01174		520514.00039				
	The follo	The following fees have been submitted			CALCULATIONS	PTO USE ONLY	
	21. Basic national fee \$300				\$300	\$	
	If International page PCT Article 33	nination fee reliminary examina 3(1)-(4)	\$				
	Search fee (37 C International Sea	rch fee FR 1.445(a)(2)) ha nal Searching Aut irch Report prepar is	\$				
·		TOTAL OF 21, 22	\$				
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
	Total Sheets	Extra Sheets		h additional 50 or fraction up to a whole number)	RATE		
	- 100 =	/50 =			x \$250	\$	
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$	
	CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	-
	Total claims	27	- 20 =	7	× \$ 50	\$	
	Independent clair	ms 6	- 3 =	3	× \$200	\$	
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360				+ \$360	\$	
	TOTAL OF ABOVE CALCULATIONS = \$						
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.						
			\$				
	_	f <b>\$130.00</b> for furnis late (37 CFR 1.492	\$ 130.00				
	•		\$ 130.00				
	<del>-</del>	the enclosed ass cover sheet (37 (	\$				
04/24/2007	LLANDGRA 00000076 170055 10586400 TOTAL FEES ENCLOSED = \$ 130.00						
01 FC:1617	130.00	DA				Amount to be refunded:	\$
						Amount to be charged:	\$ 130.00
	a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 17-0055 in the amount of \$ 130.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 17-0055. A duplicate copy of this sheet is enclosed.						
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO=2038.						
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37/CFR 1.137(a) or (b)) plust be filed and granted to restore the International Application to pending status.						
	SEND ALL CORF	UARLES & BRADY LLP  OUT OF THE PROPERTY OF THE					
	411 E. Wisconsin Ave.  Milwaukee, WI 53202  NAME						
	(414) 277-5000 43 208					•.	
	(414) 271-3552 (Fax) REGISTRATION					ON NUMBER	



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/586,400

Toshinori Takagi

520514.00039 INTERNATIONAL APPLICATION NO.

PCT/JP05/01174

I.A. FILING DATE

PRIORITY DATE

01/21/2005

01/22/2004

Quarles & Brady 411 E. Wisconsin Avenue Milwaukee, WI 53202

QUARLES & BRADY LL Intellectual Property Dent. SPONSE DUE: 6.2.67

**CONFIRMATION NO. 3841 371 FORMALITIES LETTER** 

\*OC000000023184610\*

Date Mailed: 04/02/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/19/2006
- Copy of the International Search Report filed on 07/19/2006
- Preliminary Amendments filed on 07/19/2006
- Information Disclosure Statements filed on 07/19/2006
- U.S. Basic National Fees filed on 07/19/2006
- Priority Documents filed on 07/19/2006
- Specification filed on 07/19/2006
- Claims filed on 07/19/2006
- Drawings filed on 07/19/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

### • \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.					
10/586,400	РСТ/ЈР05/01174	520514.00039					

FORM PCT/DO/EO/905 (371 Formalities Notice)